

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takeshi (nmi) Hoshida
Serial No.: 09/853,318
Filing Date: May 10, 2001
Group Art Unit: 2633
Confirmation No.: 5944
Examiner: Christina Y. Leung
Title: Receiver and Method for a Multichannel Optical
Communication System

Mail Stop: Amendments
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97, and 1.98, that the documents listed on the attached Form PTO-1449 be considered and cited in the examination of the above-identified application.

Pursuant to the changes to C.F.R. §1.98(a)(2) set forth in 69 FR 56481 and effective October 21, 2004, copies of U.S. patents and U.S. patent application publications have not been provided. References other than U.S. patents and U.S. patent application publications are enclosed for the convenience of the Examiner.

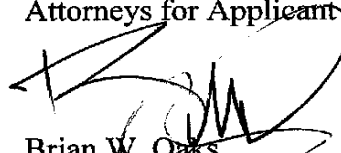
Pursuant to 37 C.F.R. §§1.97(g) and (h), Applicants make no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

REMARKS

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c). Pursuant to 37 C.F.R. § 1.97(e), Applicants state that all references listed on the attached PTO-1449 were either: 1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, or 2) were not, to the knowledge of the person signing this statement after making a reasonable inquiry, known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. Further, the items contained in this Information Disclosure Statement that were cited in a communication from a foreign patent office in a counterpart application were not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. Therefore, no fees are believed to be due. However, if any fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts, L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant



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Date: October 18, 2006

Correspondence Address:

Customer Number

05073

PTO-1449 Information Disclosure Citation In an Application	Application No. 09/853,318		Applicant(s) Takeshi (nmi) Hoshida	
	Docket Number 064731.0184	Group Art Unit 2633	Filing Date May 10, 2001	

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	J	JP 11-4196	01/06/1999	JAPAN				X
	K	JP 6-303196	10/28/1994	JAPAN				X
	L							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	M	Office Action for Japanese Patent Application No. 2002-587979, issued by the International Patent Office, 3 pages, September 26, 2006	09/26/2006
	N		
	O		
	P		
	Q		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE